

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 3350383  |
| <b>Application Number:</b>                  | 09995955   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 1158   |
| <b>Title of Invention:</b>                  | FINANCIAL PRODUCT AND COLLABORATIVE SYSTEM AND METHOD FOR PROVIDING AND MONITORING A FINANCIAL PRODUCT |
| <b>First Named Inventor/Applicant Name:</b> | Thomas G. Siska  |
| <b>Customer Number:</b>                     | 66228  |
| <b>Filer:</b>                               | Richard C. Himelhoch/Kathleen Rundquist  |
| <b>Filer Authorized By:</b>                 | Richard C. Himelhoch   |
| <b>Attorney Docket Number:</b>              | 52046-7003   |
| <b>Receipt Date:</b>                        | 23-MAY-2008  |
| <b>Filing Date:</b>                         | 28-NOV-2001  |
| <b>Time Stamp:</b>                          | 13:47:34   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$1020          |
| RAM confirmation Number                  | 7732            |
| Deposit Account                          | 502803          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

| Document Number | Document Description        | File Name     | File Size(Bytes) /Message Digest                       | Multi Part /.zip | Pages (if appl.) |
|-----------------|-----------------------------|---------------|--|------------------|------------------|
| 1               | Issue Fee Payment (PTO-85B) | Issue_Fee.pdf | 155223<br>9dc0ee59c136e3a038020a1f276e68ef<br>74deac62 | no               | 2                |

## Warnings:

## Information:

|   |                        |              |  |    |   |
|---|------------------------|--------------|--|----|---|
| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 8351<br>ece02ce55a8e81e664e94340d3a2362<br>b5ddca2ca | no | 2 |
|---|------------------------|--------------|--|----|---|

## Warnings:

## Information:

Total Files Size (in bytes): 163574

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.